JC03 Rec'd PCT/PTO 2.0 SEP 2005

PTO-1390 (Rev. 07-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES NRNZ-01048US1 DESIGNATED/ELECTED OFFICE (DO/EO/US) **CONCERNING A FILING UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/US2004/008108 16 March 2004 20 March 2003 TITLE OF INVENTION NEUROPROTECTIVE MACROCYCLIC COMPOUNDS AND METHODS FOR THEIR APPLICANT(S) FOR DO/EO/US HARRIS, Paul, William, Richard and BRIMBLE, Margaret, Anne Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. 3. X This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. X is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. is attached hereto. b. A has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. A have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. A second copy of the published International Application under 35 U.S.C 154(d)(4).

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

JC17 Rec'd PCT/PTO 20 SEP 2005

PTO-1390 (Rev. 07-2005)
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U.S. APPLICATION No. (it known the control of R 1.5) Unassigned		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER			
		PCT/US2004/008108		NRNZ-01048US1			
20. Other items or information: Express Mail Label No.: EV 385 255 934 US mailed 20 September 2005; Copy of the International Search Report and Written Opinion of the International Searching Authority; PCT Forms – PCT/IB/304 and PCT/IB/308 (two sheets); and Return Receipt Postcard							
The following fees have been submitted						CALCULATIONS	PTO USE ONLY
21. 🛭 Basic n	ational fee (37 CFR 1	.492(a))		\$	300	\$ 300.00	
22. Examination fee (37 CFR 1.492(c))							
If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0 All other situations \$200						\$0.00	
23. Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)						\$ 0.00	
TOTAL OF 21, 22 and 23 =						\$ 300.00	
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Total Sheets Extra Sheets Number of each additional 50 or fraction RATE							
		thereof (round up to a whole number)					
-100 = /50 = x \$250					\$		
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration after the date of commencement of the national stage (37 CFR 1.492(h)).						\$	
CLAIMS	NUMBER F	NUMBER FILED NUMBER EXTRA		RATE			
Total claims		- 20 =		× \$ 50		\$	
Independent claims		- 3 =		x \$200		\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360						\$	
TOTAL OF ABOVE CALCULATIONS =						\$ 300.00	
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.						\$ 150.00	
SUBTOTAL =						\$ 150.00	
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).					\$		
TOTAL NATIONAL FEE =						\$ 150.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +						\$	
TOTAL FEES ENCLOSED =						\$ 150.00	
						Amount to be refunded:	\$
						Amount to be charged:	\$